



City of Waltham

Jeannette A. McCarthy
Mayor

CITY OF WALTHAM
CITY CLERK'S OFFICE

2018 MAR 21 P 3:08

RECORDED

March 21, 2018

TO: The City Council
RE: CDBG Budget

Dear Councillors:

I respectfully request approval of the enclosed Year 44 Community Development Block Grant Program.

A spread sheet of applicants with the amount requested for 2019, the amount recommended, the required paperwork and certifications are also enclosed.

The Planning Director is available to answer any questions you may have regarding this request.

This is subject to appropriation by the Federal Government.

Sincerely,

Jeannette A. McCarthy

JAM/ccb

Enclosure

cc: Catherine Cagle
Robert Waters

2018-2019 Proposal Intake

Year 44 CDBG Budget		
Organization	Program	2019 Award
Public Services (139,560 Cap)		
Chesterbrook Community Foundation	Dana Court & Chesterbrook Learning Center	\$ 10,000.00
Prospect Hill Community Foundation	Prospect Hill Community Center	\$ 5,000.00
Greater Waltham Arc	Inclusive Supports	\$ 22,432.00
WATCH	Breaking Barriers	\$ 3,632.00
Waltham Partnership for Youth	NEW Youth Employment Program	\$ 8,859.00
Middlesex Human Service Agency	Bristol Lodge M&W Shelter	\$ 25,555.00
Middlesex Human Service Agency	Bristol Lodge Soup Kitchen & Pantry	
Middlesex Human Service Agency	Mary's House Family Shelter	
The Salvation Army	Utility Assistance	\$ 6,051.00
The Salvation Army	Hope Kitchen	\$ 3,067.00
The Salvation Army	Kids Feast	\$ 2,502.00
Community Day Center of Waltham	Enhanced Case Management Services	\$ 13,202.00
Healthy Waltham	Let's Move Youth	\$ 4,000.00
Healthy Waltham	Let's Eat Right & Keep Fit for Seniors	\$ 3,000.00
Healthy Waltham	NEW Waltham Market	\$ 5,000.00
Waltham YMCA	Whittemore After School Program	\$ 5,000.00
Waltham Family School	Family School	\$ 6,260.00
Latinos En Accion de Waltham	ESL Classes	\$ 6,000.00
Housing Division	Emergency Assistance	\$ 10,000.00
Total		\$ 139,560.00
Capital Improvements		
The Boys and Girls Club	ADA Elevator	\$ 100,000.00
City of Waltham Recreation Dept.	Waltham Community & Cultural Center	\$ 100,000.00
City of Waltham Recreation Dept.	Thompson Playground	\$ 75,000.00
City of Waltham Recreation Dept.	McDonald Playground	\$ 75,000.00
Waltham Housing Authority	Chesterbrook Learning Center	\$ 75,000.00
Waltham Housing Authority	Dana Court Spray Park	\$ 90,000.00
Total		\$ 515,000.00
Economic Development		
Downtown Waltham Partnership	Storefront Improvement	\$ 25,000.00
Total		\$ 25,000.00
Housing Division		
Housing Division	Housing Rehab Loan Fund	\$ 60,000.00
Housing Division	Administrative Costs	\$ 35,848.00
Total		\$ 95,848.00
Administration (Cap \$197,980)		
Planning Department	Administrative Costs	\$ 195,000.00
Total Budget (\$920,408 Estimated HUD Allocation + \$50,000 Program Income)		\$ 970,408.00

IN THE CITY COUNCIL

WHEREAS:

Title I of the Housing and Community Development Act of 1977 (hereinafter called the Act) provides for the making of grants by the Secretary of the U.S. Department of Housing and Urban Development (hereinafter called the Department) to states and units of general local government for the development of viable communities by providing decent housing and a suitable living environment and expanding economic opportunities, principally for persons of low income; and

WHEREAS:

The City of Waltham (hereinafter sometimes referred to as the Applicant) desires to develop such a viable community through the formulation and implementation of a Community Development Program throughout the City, but particularly in areas in which persons of low income reside; and

WHEREAS:

It is recognized that in applying for a grant under the Act the Applicant undertakes certain assurances, obligations, and responsibilities including, but not limited to, the following:

**NOW THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF
WALTHAM:**

1. That an application (hereinafter called the application) be made to the U.S. Department of Housing and Urban Development for a grant authorized by the act;
2. That the Mayor is hereby authorized and directed to execute and file such Application with the U.S. Department of Housing and Urban Development, to make any amendments to the Application as may be required, to provide additional information, to furnish such documents and execute such contracts as may be required, and to act as the authorized correspondent and representative of the Applicant in connection with the Application;
3. That the United States of America and the Secretary of the U.S. Department of Housing and Urban Development be and are hereby assured of full compliance by the Applicant with all assurances and understandings as may be required including, but not limited to, those set forth above.

READ AND ADOPTED:

APPROVED:

Diane P. LeBlanc, City Council President

Rosario C. Malone, City Clerk

Jeannette A. McCarthy, Mayor

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

Signature of Authorized Official

Date

Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2018-2019 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.

Signature of Authorized Official

Date

Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature of Authorized Official

Date

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature of Authorized Official

Date

Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature of Authorized Official

Date

Title

Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature of Authorized Official

Date

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.